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PTO/SB/21 (08-00)

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Approved for use through 10/31/2002. OMB 0651-0031

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#1

E		Application Number	. 09/944,626						
TRANSMITTA	L	Filing Date	August 30, 2001						
19 mar FORM		First Named Inventor	Shah, Safwan	COPY OF PAPE					
(to be used for all correspondence after	initial filing)	Group Art Unit	2163	ORIGINA					
& IRANTANE		Examiner Name	Unassigned	Unassigned					
Total Number of Pages in This Submission	on	Attorney Docket Numb	er 020342-000900US	020342-000900US					
	ENCL	OSURES (check all that app	ly)						
Fee Transmittal Form		ment Papers Application)	After Allowance Comm Group	nunication to					
Fee Attached	☐ Drawin	g(s)		Appeal Communication to Board of Appeals and Interferences					
Amendment / Response	Licensi	ing-related Papers		Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)					
After Final		n Routing Slip (PTO/SB/69) companying Petition	Proprietary Information	Proprietary Information					
Affidavits/declaration(s)	1 —	n to Convert to a onal Application	Status Letter	Status Letter					
Extension of Time Request		of Attorney, Revocation e of Correspondence Addre	Other Enclosure(s) (please identify below):	1 🗀					
Express Abandonment Request		al Disclaimer st for Refund		Return Postcard Second Request for Corrected Filing Receipt; PTO copy of Updated Filing					
☐ Information Disclosure Statement	CD, Nu	imber of CD(s)	Receipt showing correction original Request for Correction Receipt and accompanying	to be made; sted Filing					
Certified Copy of Priority Document(s)	Rema	1	hissioner is authorized to charge any additional fees to count 20-1430.						
Response to Missing Parts/ Incomplete Application									
Response to Missing Parts under 37 CFR 1.52 or 1.53									
SIGN	ATURE OF A	APPLICANT, ATTORNE	r, or agent						
Firm Townsend and T									
and   Patrick M. Bouch	Patrick M. Boucher Reg. No. 44,037								
Signature / / / /									
Date January 25, 2002	January 25, 2002								
	ÇE	RTIFICATE OF MAILING							
I hereby certify that this correspondence class mail in an envelope addressed to:									
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Signature	4 %	1.12.71	Date January 25, 20	002					

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Assistant Commissioner for Patents Washington, D.C. 20231

Nina L. McNeill

Attorney Docket No.: 020342-000900US

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Examiner:

Unassigned

COPY OF PAPERS

ORIGINALLY FILED

Safwan Shah et al.

Art Unit:

2163

Application No.: 09/944,626

SECOND REQUEST FOR CORRECTED

**FILING RECEIPT** 

Filed: August 30, 2001

For: ACTIVE PROFILING SYSTEM FOR TRACKING AND QUANTIFYING

CUSTOMER CONVERSION EFFICIENCY

Assistant Commissioner for Patents Office of Initial Patent Examination

Customer Service Center Washington, D.C. 20231

Sir:

Attached is a copy of the official Filing Receipt received from the Patent and Trademark Office in the above-noted application for which issuance of a corrected filing receipt is respectfully requested.

There is an error in that the number of drawings submitted with the patent application should read "6" and not 7 as shown. As proof, a copy of the Patent Application Transmittal, Application Data Sheet and postcard are attached.

Respectfully submitted,

Patrick M. Boucher

Reg. No. 44,037

TOWNSEND and TOWNSEND and CREW LLP

Two Embarcadero Center, 8th Floor San Francisco, California 94111-3834

Tel: (303) 571-4000 Fax: (303) 571-4321

PMB/nlm DE 7060551 v1



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COMMISSIONER FOR PATENTS UNITED STATES PATENT AND TRADEMARK OFFICE

WASHINGTON, D.C. 20231 www.uspta.gov

GRP ART UNIT FIL FEE REC'D ATTY.DOCKET.NO DRAWINGS TOT CLAIMS IND CLAIMS APPLICATION NUMBER FILING DATE 020342 -09/944,626 08/30/2001 2163 657 40 4 000900US

**CONFIRMATION NO. 9256** 

UPDATED FILING RECEIPT

\*OC000000007288935\*

TOWNSEND AND TOWNSEND AND CREW, LEEC'D JAN 18 2002

Date Mailed: 01-10-2002 ...

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

20350

**EIGHTH FLOOR** 

Safwan Shah, San Jose, CA; Vali Maskatiya, Atherton, CA; Ashim Banerjee, Westminster, CO;

Domestic Priority data as claimed by applicant

Foreign Applications

If Required, Foreign Filing License Granted 10/03/2001

03/06/2003 Projected Publication Date:

Non-Publication Request: No

Early Publication Request:

\*\* SMALL ENTITY \*\*

Title

Active profiling system for tracking and quantifying customer conversion efficiency

Preliminary Class

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Attorney Docket No.: 020342-000900US

Assistant Commissioner for Patents Washington, D.C. 20231

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Safwan Shah et al.

Application No.: 09/944,626

Filed: August 30, 2001

For: ACTIVE PROFILING SYSTEM FOR

TRACKING AND QUANTIFYING

CUSTOMER CONVERSION EFFICIENCY

Examiner:

Unassigned

Art Unit:

2163

REQUEST FOR CORRECTED FILING

RECEIPT

Assistant Commissioner for Patents Office of Initial Patent Examination Customer Service Center Washington, D.C. 20231

Sir:

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There is an error in that the number of drawings submitted with the patent application should read "6" and not 7 as shown. As proof, a copy of the Patent Application Transmittal, Application Data Sheet and postcard are attached.

Respectfully submitted,

Patrick M. Boucher Reg. No. 44,037

Petil an

TOWNSEND and TOWNSEND and CREW LLP

Two Embarcadero Center, 8th Floor San Francisco, California 94111-3834

Tel: (303) 571-4000 Fax: (303) 571-4321

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UNITED STATES PATENT AND TRADEMARK OFFICE

WASHINGTON, D.C. 20231 WWW.uspto.gov

 
 APPLICATION NUMBER
 FILING DATE
 GRP ART UNIT
 FIL FEE REC'D
 ATTY.DOCKET.NO
 DRAWINGS
 TOT CLAIMS
 IND CLAIMS

 09/944,626
 08/30/2001
 2163
 0.00
 020342-000900US
 40
 4

**CONFIRMATION NO. 9256** 

FILING RECEIPT

\*OC0000G0006844970\*

20350
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TWO EMBARCADERO CENTER
EIGHTH FLOOR
SAN FRANCISCO, CA 94111-3834

OPY MALLY FILED

Date Mailed: 10/04/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Safwan Shah, San Jose, CA; Vali Maskatiya, Atherton, CA; Ashim Banerjee, Westminster, CO;

Domestic Priority data as claimed by applicant

Foreign Applications

If Required, Foreign Filing License Granted 10/03/2001

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

OCT | 5 2001

Early Publication Request: No

\*\* SMALL ENTITY \*\*

Title

Active profiling system for tracking and quantifying customer conversion efficiency

Freliminary Class

PTO/S8/05 (11-00)

Approved for use through 10/31/2002, OMB 0651-0032 U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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## UTILITY PATENT APPLICATION TRANSMITTAL

Attorney Docket No. 20342-000900

First Inventor Shah, Salwan

Title ACTIVE PROFILING SYSTEM FOR TRACKING AND QUANTIFYING CUSTOMER CONVERSION EFFICIENCY

IRANSMITTAL		Title	ACTIVE PROFILING SYSTEM FOR TRACKING AND QUANTIFYING CUSTOMER CONVERSION EFFICIENCY							
(Only for n	ew nonprovisional	applications und	der 37 C.F.R. §	C.F.R. § 1.53(b))		Express Mail Label No.		EL 903198721 US		
See MPEP	APPLICATION ELEMENTS  See MPEP chapter 600 concerning design patent application contents.				Assistant Commissioner for Patents  Box Patent Application Washington, DC 20231					
2 🛭	Fee Transmittal Form (e.g., PTO/SB/17)  (Submit an original and a duplicate for fee processing)  Applicant claims small entity status.  See 37 CFR 1.27.  Specification [Total Pages 25]  preferred arrangement set forth below)  Descriptive title of the Invention  Cross References to Related Applications  Statement Regarding Fed sponsored R & D  Reference to sequence listing, a table, or  a computer program listing appendix  Background of the Invention  Brief Summary of the Invention  Brief Description of the Drawings ( if filed)  Detailed Description  Claim(s)  Abstract of the Disclosure				7. CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)  6. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)  a. Computer Readable Form (CRF)  b. Specification Sequence Listing on:  i. CD-ROM or CD-R (2 copies); or  ii. paper number of pages  c. Statements verifying identity of above copies  ACCOMPANYING APPLICATIONS PARTS  9. Assignment Papers (cover sheet & document(s))  10. 37 C.F.R.§3.73(b)Statement Power of (when there is an assignee) Attorney  11. English Translation Document (if applicable)					
4. ☑ Drawing(s) (35 U.S.C.113) [Total Sheets 6 ] 5. Oath or Declaration [Total Pages 1] a. ☐ Newty executed (original or copy) b. ☐ Copy from a prior application (37 CFR 1.63 (d))     (for a continuation/divisional with Box 18 completed) i. ☐ DELETION OF INVENTOR(S)     Signed statement attached deleting inventor(s)     named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b). 6. ☑ Application Data Sheet. See 37 CFR 1.76					13 14 15 16	12.  Information Disclosure Copies of IDS Statement (IDS)/PTO-1449 Citations  13.  Preliminary Amendment  14.  Return Receipt Postcard (MPEP 503) (Should be specifically itemized)  15.  Certifled Copy of Priority Document(s) (if foreign priority is claimed)  16.  Nonpublication Request under 35 U.S.C. 122(b)(2)(B)(I). Applicant must attach form PTO/SB/35 or its equivalent  17. Other:				
18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:  Continuation Divisional Continuation-in-part (CIP) of prior application No:/  Prior application information: Examiner Group Art Unit:  For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently emitted from the submitted application parts.  19. CORRESPONDENCE ADDRESS										
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Name										
Address									<u> </u>	·
City	State				Zip Ca			Code		
Country			Telepi	hone					Fax	
Name (Print/Type) Patrick M. Boucher			Regi	Registration No. (Attorney/Agent) 44,037				44,037		
Signature Petul do			,	Date August 30, 2001				August 30, 2001		

### **Application Data Sheet**

## Application Information

Application Type:: Regular

Subject Matter: Utility

Title:: ACTIVE PROFILING SYSTEM FOR TRACKING

AND QUANTIFYING CUSTOMER CONVERSION

**EFFICIENCY** 

Attorney Docket Number:: 020342-000900US

Request for Early Publication:: No

Request for Non-Publication:: No

Total Drawing Sheets:: 6

Small Entity?:: Yes

Petition included?:: No

### **Applicant Information**

Applicant Authority Type:: Inventor

Primary Citizenship Country:: Pakistan

Status:: Full Capacity

Given Name:: Safwan

Family Name:: Shah

City of Residence:: San Jose

State or Province of Residence:: CA

Country of Residence:: US

Street of Mailing Address:: 1181 Valley Quail Circle

City of Mailing Address:: San Jose

State or Province of mailing address:: CA

Country of mailing address:: US

Postal or Zip Code of mailing address:: 95120

**Assistant Commissioner for Patents BOX PATENT APPLICATION** Washington, DC 20231

Please stamp the date of receipt of the following document(s):

For: ACTIVE PROFILING SYSTEM FOR TRACKING AND QUANTIFYING CUSTOMER CONVERSION EFFICIENCY CONVERSION EFFICIENCY

Utility Patent Application Transmittal
 Application Data Sheet (3 pages)

3. Patent Application (25 pages of specs, claims and abstract)4. Six (6) sheets of informal drawings

5. Information Disclosure Statement and Form 1449

6. Copies of nine (9) cited references

Express Mail No.: EL 903198721 US Date Mailed: August 30, 2001 Atty. Docket No. 020342-000900US Atty/Sec: PMB/nlm (Den)

SEP 1 7 2001 J1036 U.S. PTO